Pioneer Wealth Management Services Ltd. – SEBI Registered Portfolio Manager (SEBI Reg. no. INP000005883) Investor Grievance Data

- Sr. Investor Pending Received Resolved Total Pending Average No. Complaints as at the Pending complaints Resolution > 3months time ^ received end of # from the last (in days) month 1 Directly from Nil Nil Nil Nil Nil Nil Investors 2 SEBI Nil Nil Nil Nil Nil Nil (SCORES) 3 Other Sources Nil Nil Nil Nil Nil Nil (if any) Total Nil Nil Nil Nil Nil Nil
- 1. Data for the month from April 2023 till December 2023.

^Average Resolution time is the sum total of time taken to resolve each complaint in days in the current quarter divided by total number of complaints resolved in the current quarter.

* Inclusive of complaints of previous months resolved in the current month

#Inclusive of complaints pending as on the last day of the month.

2. Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved	Pending
1	April, 2023	Nil	Nil	Nil	Nil
2	May, 2023	Nil	Nil	Nil	Nil
3	June, 2023	Nil	Nil	Nil	Nil
4	July, 2023	Nil	Nil	Nil	Nil
5	August, 2023	Nil	Nil	Nil	Nil
6	September, 2023	Nil	Nil	Nil	Nil
7	October, 2023	Nil	Nil	Nil	Nil
8	November, 2023	Nil	Nil	Nil	Nil
9	December, 2023	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

3. Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from Previous year	Received	Resolved	Pending
1	2021-22	Nil	Nil	Nil	Nil
2	2022-23	Nil	Nil	Nil	Nil
3	2023-24	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

4)To register a formal complaint with Mr. Ketan Gandhi – Principal officer, please write to ketang@pinc.co.in with your following details:

- i) Full name
- ii) Registered mobile number
- iii) PAN card
- iv) Nature of complaint

(On clicking the email id- the link will give direct access to mail from there, investor will mail

mentioning above details)

5) SCORES website link <u>https://scores.gov.in/scores/Welcome.html</u>

(On Clicking SCORES it will take directly to website to lodge the complaint)

6) SMART ODR LINK https://smartodr.in/login